

AMENDMENT #1 – April 21, 2015

REQUEST FOR PROPOSALS (RFP)

AUDITING AND ACCOUNTING SERVICES

#2015-04

This Amendment #1 is being issued to amend and clarify certain information contained in the above referenced RFP. All information contained herein is binding on all Offerors who respond to this RFP. Specific parts of the RFP have been amended. The following changes/additions are listed below; new language has been double underlined and marked in bold (i.e., **word**), and language deleted has been marked with a strikethrough (i.e., ~~word~~).

1. REVISE: Section 1.2.4 INFORMATION TECHNOLOGY AUDIT, page 3.

The Account Team principals that will be assigned to the Information Technology Audit (as described in Section 5.2.4) must be active CPAs **or CISAs (“Certified Information Systems Auditor”)** and have a minimum of five (5) years of Information Technology risk assessment, evaluation and audit experience.

2. REVISE: APPENDIX F – FINANCIAL PROPOSAL SHEET, pages 138 - 141.

Remove and replace in its entirety Appendix F – Financial Proposal Sheet with the attached revised “Appendix F - Financial Proposal Sheet (Revised 4/21/2015)”.

Except as expressly amended herein, all other terms, provisions and conditions in the RFP remain unchanged and in full effect.

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APPENDIX F
FINANCIAL PROPOSAL SHEET (Revised 4/21/2015)

AUDITING AND ACCOUNTING SERVICES (#2015-04)

This form must be completed in its entirety and submitted by the Offeror with its Financial Proposal.
Do Not change or alter this form.

All Prices proposed shall be firm fixed prices for the term of the Contract, to include the Renewal Option Periods, if exercised, and any other extensions. Offerors are reminded that there is no guarantee of any minimum or maximum amounts under the Contract anticipated to result from this RFP, and thus no earnings are guaranteed to the Offeror.

For the Initial Three (3) Year Contract Term

The Offeror shall state on the Financial Proposal Sheet-Appendix F its proposed Firm Fixed Price for the three year base term of the Contract to provide all goods and services, equipment, and personnel for each of the five Components required by this RFP as described in Section V – Technical Specifications/Scope of Work.

For the Renewal Option Period

The Offeror shall state on the Financial Proposal Sheet-Appendix F its proposed Firm Fixed Price for the three 1-year Renewal Option periods of the Contract to provide all goods and services, equipment, and personnel for each of the five Components required by this RFP as described in Section V – Technical Specifications/Scope of Work.

Price Model – Base Contract Term

Component 1 – Audit Reports

Base Contract Term	Monthly Fee	Months	3-Year Contract Amount
Years 1-3	\$ _____	X 36	\$ _____

Component 2 – Audit of Lottery Game Drawings

Base Contract Term	Monthly Fee	Months	3-Year Contract Amount
Years 1-3	\$ _____	X 36	\$ _____

Component 3 – MUSL Agreed Upon Procedures

Mega Millions/Megaplier Agreed Upon Procedures

Base Contract Term	Yearly Fee	Years	3-Year Contract Amount
Years 1-3	\$ _____	X 3	\$ _____

Powerball/Power Play Agreed Upon Procedures

Base Contract Term	Yearly Fee	Years	3-Year Contract Amount
Years 1-3	\$ _____	X 3	\$ _____

Component 4 – Assessment of MLGCA’s Technology

Base Contract Term	Yearly Fee	Add 3 Years	3-Year Contract Amount
Year 1 - Annual + Biennial Tasks	\$ _____	Total =	\$ _____
Year 2 - Annual Tasks Only	\$ _____		
Year 3 - Annual + Biennial Tasks	\$ _____		

Component 5 – Management Services

Base Contract Term	Hourly Fee	Estimated Annual Hours x 3 Years	3-Year Contract Amount
Years 1-3	\$ _____	X 100 x 3	\$ _____

Summary of Projected 3 Year Base Contract Term Compensation

Component 1 – Audit Reports	\$ _____
Component 2 – Audit of Lottery Game Drawings	\$ _____
Component 3 – MUSL Agreed Upon Procedures	\$ _____
Component 4 – Assessment of MLGCA’s Technology	\$ _____
Component 5 – Management Services	\$ _____

Base Contract Term

Estimated Total 3-Year Contract Amount \$ _____ (I)

(Total of Components 1 + 2 + 3 + 4 + 5)

Price Model – Renewal Option Periods

Component 1 – Audit Reports

Renewal Option Period	Monthly Fee	Months	3-Year Option Amount
Years 4-6	\$ _____	X 36	\$ _____

Component 2 – Audit of Lottery Game Drawings

Renewal Option Period	Monthly Fee	Months	3-Year Option Amount
Years 4-6	\$ _____	X 36	\$ _____

Component 3 – MUSL Agreed Upon Procedures

Mega Millions/Megaplier Agreed Upon Procedures

Renewal Option Period	Yearly Fee	Years	3-Year Option Amount
Years 4-6	\$ _____	X 3	\$ _____

Powerball/Power Play Agreed Upon Procedures

Renewal Option Period	Yearly Fee	Years	3-Year Option Amount
Years 4-6	\$ _____	X 3	\$ _____

Component 4 – Assessment of MLGCA’s Technology

Renewal Option Period	Yearly Fee	Add 3 Years	3-Year Contract Amount
Year 1 - Annual Tasks Only	\$ _____	Total =	\$ _____
Year 2 - Annual + Biennial Tasks	\$ _____		
Year 3 - Annual Tasks Only	\$ _____		

Component 5 – Management Services

Renewal Option Period	Hourly Fee	Estimated Annual Hours x 3 Years	3-Year Option Amount
Years 4-6	\$ _____	X 100 x 3	\$ _____

Summary of Projected 3 Year Renewal Option Compensation

Component 1 – Audit Reports	\$ _____
Component 2 – Audit of Lottery Game Drawings	\$ _____
Component 3 – MUSL Agreed Upon Procedures	\$ _____
Component 4 – Assessment of MLGCA’s Technology	\$ _____
Component 5 – Management Services	\$ _____

Renewal Option Periods

Estimated Total 3-Year Option Amount

(Total of Components 1 + 2 + 3 + 4 + 5)

\$ _____ (II)

Basis of Award

Estimated Total 3-Year Contract Amount Total of Components 1+2+3+4+5	\$ _____(I)
Estimated Total 3-Year Option Amount Total of Components 1+2+3+4+5	\$ _____(II)
Estimated Total Amount	\$ _____(I + II) (Basis of Award)

- The Offeror's Proposed "Estimated Total 3-Year Contract Amount" plus "Estimated Total 3-Year Option Amount" specified above will be the basis for the award. The number of hours for Component 5 – Management Services is based on model quantities and will be used solely for price evaluation, comparison and selection for recommendation for award. The quantities indicated are not a guarantee of any minimum or maximum amounts under this contract and may change at any time during the term of the Contract, or Renewal Option Period if exercised.
- The Offeror's proposed prices are to be fully loaded rate and to expressly include overhead expenses (e.g., fringe benefits, administrative costs, profits, etc.), and all related and incidental expenses (e.g., travel, legal, etc.) associated with providing this service.
- There is no guarantee of any minimum or maximum amounts under this Contract.
- The actual amount to be paid to the Contractor shall be calculated using the Firm Fixed Unit Prices specified on the Financial Proposal Sheet and, for Component 5, the actual number of hours authorized and accepted by the Lottery.

Signature: _____ Date: _____
Offeror/Contractor

Name: _____ Title: _____
(Printed or Typed)

Company: _____ Federal Tax ID#. _____

Address: _____